Concerning Declaration

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6007/74504 DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. PCT/1N2003/000209 5 JUNE 2003 **28 NOVEMBER 2002** TITLE OF INVENTION . N-ARYLSULFONYL-3-SUBSTITUTED INDOLES HAVING SEROTONIN RECEPTOR AFFINITY, PROCESS FOR THEIR PREPARATION AND PHARMACEUTICAL COMPOSITIONS APPRICANTISH FOR DOJEOJUS Venkata Satya Nirogi RAMAKRISHNA, Vikas Shreekrishna SHIRSATH APPLICANT(S) FOR DOMEOMUS Venkata Satya Nirogi RAMAKRISHNA, Vikas Shreekrishna SHIRSA Rama Sastri KAMBHAMPATI, Venkata Satya Veerabhadra V. RAO, Venkateswarlu JASTI. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) X. is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto has been previously submitted under 35 U.S.C. 154(d)(4). ₇ 🗶 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). X. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned) An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16 A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Return Receipt Postcard; Express Mail Certificate, Label No Other items or information: EV305643455US dated May 26, 2005; Comm. Concerning Declaration 20. **X**

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JC13 Rec'd PCT/PTO 26 MAY 2009

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION	NO. (if known	ATTORNEY'S DOCKET NUMBER 6007/74504								
The followi	ng fees have b	CALCULATIONS	PTO USE ONLY							
,	ational fee	\$ 300.00								
22. Examina If International prelii PCT Article 33(1) All other situations	minary examin	\$ 200.00								
23. Search Search fee (37 CFR International International Search All other situations	1.445(a)(2)) h Searching Aut Report prepar	\$ 500.00 \$ 1000.00								
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Additional fee for sequence listing. The fee is \$250	or computer :		; [;]							
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Surcharge of \$130.0 claimed priority date			ration later than 30 months f	rom the earliest	\$					
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$					
Total claims		19 - 20 =	0	× \$50	\$ 0					
Independent claims		2 - 3 =	. 0	× \$200	\$ 0					
MULTIPLE DEPEND	DENT CLAIM(S	S) (if applicable)		+ \$360	\$ 0					
				CALCULATIONS =	\$1,250.00					
Applicant claims	small entity st	tatus. See 37 CFF	1.27. Fees above are reduced	ced by 1/2.						
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Processing fee of \$1 claimed priority date		\$	·							
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		Amount to be refunded:	\$							
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a. A check in the amount of \$ 1,250.00 to cover the above fees is enclosed.										
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03_3125</u> . A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL CORRESPONDENCE TO: William E. Pelton William E. Pelton										
Cooper & I										
1185 Avenu		E. Pelton								
new York,	New York, NY 10036 NAME 25 702									
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Dkt. 6007/74504

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants Venkata Satya Nirogi Ramakrishna et al.

U.S. Serial No. Not Yet Known (national stage of PCT

International Application No.

PCT/IN2003/000209)

Filed Herewith

For NOVEL N-ARYLSULFONYL-3-SUBSTITUTED

> INDOLES SEROTONIN HAVING RECEPTOR AFFINITY USEFUL AS THERAPEUTIC AGENTS, PROCESS FOR THEIR PREPARATION

PHARMACEUTICAL COMPOSITIONS

1185 Avenue of the Americas New York, New York 10036

May 26, 2004

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

EXPRESS MAIL CERTIFICATE OF MAILING FOR ABOVE-IDENTIFIED APPLICATION

•	"Express Mail"	Mailing	Label	Number:	EV3056434	55US
•	Date of deposi	t:		_	May 26,	2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA, 22313-1450, Mail Stop PCT.

Printed Name Respectfully submitted,

William E. Pelton

Registration No. 25,702 Attorney for Applicants

Cooper & Dunham LLP

1185 Avenue of the Americas New York, New York 10036

(212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Venkata Satya Nirogi Ramakrishna et al.

U.S. Serial No. : Not Yet Known (national stage of PCT

International Application No.

PCT/IN2003/000209)

Filed : Herewith

For : NOVEL N-ARYLSULFONYL-3-SUBSTITUTED

INDOLES HAVING SEROTONIN RECEPTOR AFFINITY USEFUL AS THERAPEUTIC AGENTS, PROCESS FOR THEIR PREPARATION AND

PHARMACEUTICAL COMPOSITIONS

1185 Avenue of the Americas New York, New York 10036

May 26, 2004

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

COMMUNICATION CONCERNING DECLARATION

Applicants are concurrently filing a Declaration with the aboveidentified §371 National Stage application. This Declaration states the United States citizenship of the co-inventor Venkateswarlu Jasti. However, the WO 2004/048330 Al International Publication of the above-identified International Application states that the citizenship of Venkateswarlu Jasti is Indian. This is an error, as identified by applicants' April 26, 2005 letter International Bureau of WIPO, a copy of which is attached hereto as Exhibit A. Accordingly, applicants note that the Venkateswarlu Jasti is correctly identified as a United States Citizen in the Declaration submitted herewith.

Applicants: Venkata Satya Nirogi Ramakrishna et al.

U.S. Serial No.: Not Yet Known (national stage of PCT International Application

No. PCT/IN2003/000209)

Filed: Herewith

Page 2

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone him at the number provided below.

Respectfully submitted,

William E. Pelton

Registration No. 25,702 Attorney for Applicants

Cooper & Dunham LLP

1185 Avenue of the Americas New York, New York 10036

(212) 278-0400

10/536618

ATTORNEYS - AT - LAW PATENT AND TRADEMARK AGENTS

JC13 Rec'd PCT/PTO 26 MAY 2009

E-556. GREATER KAILASH - II NEW DELHI-110 048

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e-mail:sna@vsnl.com, sna@sna-ip.com http:// www.sna-ip.com Fax: (+91 11) 2922 6005, 2922 6012

26 April 2005

THE INTERNATIONAL BUREAU OF WIPO

34, chemin des Colombettes 1211 Geneva 20 Switzerland (Fax No:+41 22 740 1435)

Dear Sir,

Re:

SUVEN LIFE SCIENCES LTD.

PCT International Application No. PCT/IN2003/000209
Filed on: 05 June 2003

Priority: 28 November 2002 (IN 884/MAS/2002)

Our ref: SUVN-RK-002

The inventor JASTI, Venkateswarlu is a US citizen. He has been incorrectly indicated as an Indian citizen in the PCT pamphlet.

We enclose herewith a request for recordal for change of nationality of JASTI, Venkateswarlu alongwith the second page of Form PCT/RO/101.

We, therefore, respectfully request recordation and notification of the correct nationality of the inventor JASTI, Venkateswarlu as soon as possible.

Yours sincerely,

Hari/Subramaniam

Enclosures:

Request for recordal

Second page of Form PCT/RO/101

JC13 Rec'd PCT/PTO 26 MAY 2009

IN THE INTERNATIONAL BUREAU OF WIPO

Atty. Ref: SUVN-RK-002

Applicant

SUVEN LIFE SCIENCES LTD.

Application No.

PCT/IN2003/00209

Filed

05 June 2003

For

NOVEL N-ARYLSULFONYL-3-SUBSTITUTED INDOLES HAVING SERTONIN RECEPTOR AFFINITY USEFUL AS

THERAPEUTIC AGENTS, PROCESS FOR THEIR

PREPARATION AND PHARMACEUTICAL COMPOSITIONS

CONTAINING THEM

26 April 2005

By Facsimile +41 (22) 740-1435

The International Bureau Of Wipo 34, chemin des Colombettes 1211 Geneva 20 SWITZERLAND

Sirs,

COMMUNICATION REQUESTING THE RECORDING OF A CHANGE UNDER RULE 92bis

This is to request a **change in the nationality** of the inventor **JASTI**, **Venkateswarlu** in connection with the above identified international patent application under PCT Rule 92^{bis} .1(a)(i). A Demand for International Preliminary Examination electing all eligible states was timely filed on 21 June 2004. This Request is being submitted less than 30 months from the 28 November 2002 priority date of the subject application. Accordingly, this Communication is timely. Rule 92^{bis} .1(a)(i).

The inventor **JASTI**, **Venkateswarlu** has been incorrectly indicated as an Indian citizen, whereas he is a **US citizen**.

Recordation of the above change in the nationality of the inventor JASTI, Venkateswarlu in the Request and the notification of such recordation are respectfully requested.

Respectfully submitted

SUBIRAM NIAM, Hariharan

of SUBRAMANIAM, NATARAJ & ASSOCAITES
Attorney for Applicant(s)

Enclosures: (2)

E-556, Greater Kailash-II New Delhi – 110 048

Phone: +91 11 2921-5603/0792/6025 Facsimile: +91 11 2922-6005/6012